



FILED COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility Applications

PATENT
APPLICATION

COMPLETION Under
Rule 53(f)

Attn: Application Division

In re PATENT APPLICATION of

Inventor(s): Robl et al.

Appln. No.: 10/ 070,719 Atty. Dkt. P 0280713
Series Code ↑ Serial No. ↑ M# Client Ref

Filed: March 11, 2002

Title: Embryonic or Stem-Like Cell Lines Produced by Cross Species Nuclear Transplantation

Hon. Commissioner of Patents
Washington, DC 20231

Date: September 20, 2002

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☐ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☐ Original ☐ Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
a. ☐ Abstract
b. # pages of Specification (only spec. & claims)
c. ☐ Drawing(s) No of Sheets
☐ Fig(s).
4. ☐ Letter filing formal drawing attached.
5. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|--------------------|--------------------|--------------------|
| (1) 09/395,368 | September 14, 1999 | (2) PCT/US00/25090 | September 14, 2000 |
| (3) | | (4) | |
| (5) | | (6) | |

7. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

8.

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|-------------|-----------------|-------------|
| (1) | | (2) | |
| (3) | | (4) | |
| (5) | | (6) | |



9. _____ (No.) Certified copy (copies): _____ ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / _____ filed on _____
10. Small Entity Status ☐ is Not claimed ☒ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☐ Attached:
12. ☐ Please see the attached Preliminary Amendment which reduces the number of claims for purposes of reducing the initial filing fee.

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY
CHANGED BY PRELIMINARY AMENDMENT PER ITEM 12 ABOVE**

| | | | | Large/Small Entity | | Fee Code |
|---|--|------------|--|-----------------------|-----|-------------|
| 13. Basic Filing Fee Design Application | | | | \$330/\$165 | | 106/26 |
| Not Design Application | | | | \$740/\$370 | +0 | 101/201 |
| 14. Total Effective Claims | | minus 20 = | | x \$18/\$9 | +0 | 103/203 |
| 15. Independent Claims | | minus 3 = | | x \$84/\$42 | +0 | 102/202 |
| 16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application) | | | | \$280/\$140 | +0 | 104/204 |
| 17. Surcharge for filing Declaration/filing fee late | | | | \$130/\$65 | +65 | 105/205 |
| 18. FILING FEE = | | | | \$65 | | |
| 19. Original due date: August 20, 2002 | | | | | | |
| 20. Petition is hereby made to extend the <u>original</u> due date to (1 mo) | | | | \$110/\$55 = | +55 | 115/215 |
| cover the date this response is filed for which the requisite fee (2mos) | | | | \$400/\$200 = | | 116/216 |
| is attached (3mos) | | | | \$920/\$460 = | | 117/217 |
| (4mos) | | | | \$1,440/\$720 = | | 118/218 |
| 21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee | | | | \$130 | +0 | 139 |
| 22. If "assignment" box 5 is X'd, add recording fee. | | | | \$40 | +40 | 581 |
| 23. Petition Fee for | | | | \$130 | +0 | |
| 24. TOTAL FEE = | | | | \$160 | | |

Our Deposit Account No. 03-3975

Our Order No. 015837

0280713

C#

M#

**PLEASE CHARGE
OUR DEP. ACCT.**

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. **This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.**

09/24/2002 GFREY1 00000049 033975 10070719

01 FC:254 65.00 CH
02 FC:215 55.00 CH

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Intellectual Property Group**

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Atty/Sec: RLT/af

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

| | | |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/070,719 | James Robl | P 280713 |

 00909
 PILLSBURY WINTHROP, LLP
 P.O. BOX 10500
 MCLEAN, VA 22102


| | |
|-------------------------------|---------------|
| INTERNATIONAL APPLICATION NO. | |
| PCT/US00/25090 | |
| I.A. FILING DATE | PRIORITY DATE |
| 09/14/2000 | 09/14/1999 |

CONFIRMATION NO. 2839

371 FORMALITIES LETTER



OC000000008306621

Date Mailed: 06/20/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination

RECEIVED
 PILLSBURY WINTHROP LLP/VA

JUN 24 2002

 CL 15837 MT# 280713
 ATTY(S) RRT
 DUE: 8-20-02
 DKT BY (1) Jan (2) mgd

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 305-3656

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/070,719 | PCT/US00/25090 | P 280713 |

FORM PCT/DO/EO/905 (371 Formalities Notice)